

167 FERC ¶ 61,035  
UNITED STATES OF AMERICA  
FEDERAL ENERGY REGULATORY COMMISSION

Before Commissioners: Neil Chatterjee, Chairman;  
Cheryl A. LaFleur, Richard Glick,  
and Bernard L. McNamee.

Southwest Power Pool, Inc.

Docket Nos. ER17-1568-002  
ER17-1568-003  
ER18-1854-001  
ER18-2030-001  
ER18-2058-001  
ER19-261-001

ORDER GRANTING REQUESTS FOR  
EXTENSION OF EFFECTIVE DATES

(Issued April 18, 2019)

1. On February 28, 2019<sup>1</sup>, as updated on March 26, 2019, Southwest Power Pool, Inc. (SPP) filed a request to defer the effective date<sup>2</sup> for revisions to the SPP Open Access Transmission Tariff (Tariff) that it had submitted<sup>3</sup> to comply with Order No. 831.<sup>4</sup> In addition, on March 5, 2019, SPP filed requests to defer the effective date for

---

<sup>1</sup> SPP, Request for Deferral of Effective Date, Docket No. ER17-1568-002 (filed Feb. 28, 2019).

<sup>2</sup> SPP, Updated Request for Deferral of Effective Date, Docket No. ER17-1568-003 (filed Mar. 26, 2019).

<sup>3</sup> On May 8, 2017, as amended on May 18, 2017, SPP filed its compliance filing to amend its Tariff to comply with the requirements of Order No. 831. On November 9, 2017, in Docket Nos. ER17-1568-000 and ER17-1568-001, the Commission granted an effective date of April 1, 2019 for the revisions. *Sw. Power Pool, Inc.*, 161 FERC ¶ 61,154 (2017).

<sup>4</sup> *Offer Caps in Markets Operated by Regional Transmission Organizations and Independent System Operators*, Order No. 831, 157 FERC ¶ 61,115 (2016), *order on reh'g and clarification*, Order No. 831-A, 161 FERC ¶ 61,156 (2017).

the revisions to Attachment AE of the Tariff to: (1) enhance SPP's Out-of-Merit Energy process;<sup>5</sup> (2) automate the distribution of residual rounding issues resulting from the Revenue Neutrality Uplift;<sup>6</sup> (3) create a third scheduled settlement to reduce the number of manual processes as a result of meter data and Bilateral Settlement Schedule data revisions;<sup>7</sup> and (4) address potential complex gaming opportunities and market inefficiencies from the current provisions governing the participation of certain Jointly Owned Units in SPP's markets.<sup>8</sup>

2. SPP explains that the effective dates originally requested and granted in these proceedings were based on the implementation date of SPP's new Settlement Management System, but SPP has since learned that the Settlement Management System, upon which changes accepted in these proceedings must be built, has encountered developmental delays. SPP requests a deferral of the effective dates to an effective date of "12/31/9998". In its filings, SPP states that it expects that the Settlement Management System will go live on February 1, 2020. SPP also commits to submit filings to the

---

<sup>5</sup> SPP, Request for Deferral of Effective Date, Docket No. ER18-1854-001 (filed Mar. 5, 2019). On June 26, 2018, SPP submitted revisions to Attachment AE of its Tariff regarding out-of-merit energy processes. On August 22, 2018, SPP's submission was accepted for filing, effective May 1, 2019. *Sw. Power Pool, Inc.*, Docket No. ER18-1854-000 (Aug. 22, 2018) (delegated order).

<sup>6</sup> SPP, Request for Deferral of Effective Date, Docket No. ER18-2030-001 (filed Mar. 5, 2019). On July 17, 2018, SPP submitted revisions to Section 8.8 of Attachment AE of its Tariff to automate the distribution of residual rounding issues resulting from the SPP Integrated Marketplace's Revenue Neutrality Uplift mechanism. On August 31, 2018, SPP's submission was accepted for filing, effective May 1, 2019. *Sw. Power Pool, Inc.*, Docket No. ER18-2030-000 (Aug. 31, 2018) (delegated order).

<sup>7</sup> SPP, Request for Deferral of Effective Date, Docket No. ER18-2058-001 (filed Mar. 5, 2019). On July 24, 2018, SPP submitted revisions to its Tariff to implement changes to the SPP market settlement posting and disputes timeline. On September 7, 2018, SPP's submission was accepted for filing, effective May 1, 2019. *Sw. Power Pool, Inc.*, Docket No. ER18-2058-000 (Sept. 7, 2018) (delegated order).

<sup>8</sup> SPP, Request for Deferral of Effective Date, Docket No. ER19-261-001 (filed Mar. 5, 2019). On November 1, 2018, SPP submitted revisions to Attachment AE of its Tariff to address potential complex gaming opportunities and market inefficiencies from the current provisions governing the participation of certain Jointly Owned Units in SPP's market. On December 18, 2018, SPP's submission was accepted for filing, effective July 1, 2019. *Sw. Power Pool, Inc.*, Docket No. ER19-261-000 (Dec. 18, 2018) (delegated order).

Commission specifying a precise effective date for each docket. In this order, we grant SPP's requests for deferral of the effective dates in these proceedings and direct SPP to submit an informational filing.<sup>9</sup>

3. Notice of SPP's February 28, 2019 filing in Docket No. ER17-1568-002 was published in the *Federal Register*, 84 Fed. Reg. 8092 (2019), with interventions and protests due on or before March 21, 2019. None was filed.
4. Notice of SPP's March 5, 2019 filings in Docket No. ER18-2030-001 was published in the *Federal Register*, 84 Fed. Reg. 8710 (2019), with interventions and protests due on or before March 26, 2019. None was filed.
5. Notice of SPP's March 5, 2019 filings in Docket Nos. ER18-1854-001, ER18-2058-001, and ER19-261-001 was published in the *Federal Register*, 84 Fed. Reg. 9323 (2019), with interventions and protests due on or before March 26, 2019. None was filed.
6. Notice of SPP's March 26, 2019 filing in Docket No. ER17-1568-003 was published in the *Federal Register*, 84 Fed. Reg. 12,245-46 (2019), with interventions and protests due on or before April 2, 2019. None was filed.
7. We grant SPP's requests for good cause shown and establish a 12/31/9998 effective date for the Tariff changes in the above-captioned proceedings.
8. We direct SPP to submit an informational filing no less than 30 days prior to the implementation date of its new Settlement Management System to notify the Commission and interested parties of the new effective date for each of its respective Tariff revisions. SPP should use the following eTariff Type of Filing Code: 150 Data Response/Supplement the Record.

The Commission orders:

- (A) The effective date of the Tariff changes shall be set to 12/31/9998.

---

<sup>9</sup> On February 28, 2019, in Docket No. ER19-460-002, SPP filed a request to defer the effective date for the revisions to the Tariff to comply with Order No. 841. *Electric Storage Participation in Markets Operated by Regional Transmission Organizations and Independent System Operators*, Order No. 841, 162 FERC ¶ 61,127 (2018). SPP's request will be considered separately in that proceeding.

(B) SPP is hereby ordered to submit an informational filing, as discussed in the body of this order.

By the Commission.

( S E A L )

Nathaniel J. Davis, Sr.,  
Deputy Secretary.