

CHECKLIST FOR APPLICATIONS FOR PRELIMINARY PERMITS  
(Filed under 18 C.F.R. §§ 4.32, 4.36 & 4.81)

REQUIREMENT	ADEQUACY (A = adequate D = deficient)	COMMENTS
<b><u>Section 4.32</u></b>		
I. Applicant must <u>identify</u> : [(a)(1)]		
Every person, citizen, association of citizens, domestic corporation, municipality or state who has or intends to obtain and maintain proprietary right to the site.		
II. Applicant must provide <u>names and mailing addresses</u> of entities affected by or whose lands or facilities would be used by the project, including: [(a)(2)]		
A. Counties in which the project is located.		
B. Cities, towns, or similar subdivisions of 5,000 or more people within a 15-mile radius of the project dam and cities, towns, or similar subdivisions in which any part of the project, and any federal facilities that would be used by the project, would be located.		
C. Irrigation districts, drainage districts, or similar political subdivisions including federal facilities that would be used or located within the project area.		
D. Every other political subdivision in the project area that would likely be interested in or affected by the application.		
E. All Indian tribes that may be affected by the project.		
III. Applicant must subscribe and verify under oath that the information in the application is truthful. It must be notarized and in the form required by this section. [(a)(4)(i)-(ii)].  In the alternative, the applicant may provide a statement in substantially the following form: "I declare (or certify, verify, or state) under penalty of perjury that the (following information, information contained herein, etc.) is true and correct. Executed on (date). (Signature)" [see 385.2005(b)(3) and 28 U.S.C. 1746].		
<b><u>Section 4.36</u></b>		
IV. If <u>competing application</u> , applicant must provide: [4.36(d)(ii)]		
A. Proof of service on applicant who filed initial application.		
<b><u>Section 4.81</u></b>		
V. <u>Initial statement</u> must include: [4.81(a)]		
A. Location of the project, including state, county, nearby town, and body of water.		
B. Applicant name, business address, and telephone number.		
C. Agent name, address, and telephone number.		
D. If the project would be located at an existing dam or other facility, provide the name and address of the dam or facility owner. If federally owned, provide the name of the agency.		
VI. If claiming <u>municipal preference</u> , applicant must:		

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A. Be competent under state law to engage in the power business.		
B. Submit evidence of municipal status with:		
1. Copies of applicable state/local laws or a municipal charter; or		
2. Other appropriate legal authority.		
VII. <u>Exhibit 1</u> must include, to the extent possible: [4.81(b)]		
A. Project Features		
1. For each dam and spillway:		
a. General configuration (type)		
b. Composition		
c. Height		
d. Length		
e. If existing dam, age and condition.		
2. For each penstock:		
a. Length		
b. Diameter		
c. Composition		
d. If existing penstock, age and condition.		
3. For each powerhouse:		
a. Composition and dimensions		
b. If existing powerhouse, age and condition.		
4. Description of each tailrace or other project structures, existing or proposed.		
B. Reservoirs, existing or proposed		
1. Surface area		
2. Storage capacity		
3. Normal maximum surface elevation		
C. Transmission Lines, existing or proposed		
1. Number and length		
2. Voltage capacity		
3. Interconnections		
4. If existing, age and condition.		
D. Generation Data, existing or proposed		
1. Estimated average annual energy output		
2. Total installed capacity		
3. Number of turbines and generators		
4. Turbine rating for each unit		
5. Generator rating for each unit		

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6. Hydraulic head		
7. If existing, age and condition of turbines or generators.		
E. List of federal lands within the project boundary, including identification of lands of the U.S. on a completed land description form (FERC Form 587).		
F. Any other information demonstrating the manner in which the proposed project would develop, conserve, and utilize in the public interest the water resources of the region.		
VIII. <u>Exhibit 2</u> must include: [4.81(c)]		
A. Study Plan		
1. Description of any studies to determine the feasibility of the proposed project.		
2. Description and location of new roads to be built for conducting the studies.		
B. Study Costs		
1. Estimated cost of studies.		
2. Sources and extent of financing available for studies.		
IX. <u>Exhibit 3</u> must include: [4.81(d)]		
A. Map(s) showing the location of the project as a whole, including:		
1. Streams or other bodies of water		
2. Nearby towns		
3. Permanent monuments		
B. Map(s) showing the relative locations and physical interrelationships of project features identified in Exhibit 1		
C. Map(s) showing the proposed project boundary, enclosing:		
1. All project features identified in Exhibit 1; if the project is located at an existing federal dam, the federal dam and impoundment must be shown, but may not be included within the project boundary.		
2. Any non-federal lands and any public lands or reservations of the U.S. necessary for project purposes.		
D. Map(s) showing project areas within or that have been designated for study for inclusion in the National Wild and Scenic River System		
E. Map(s) showing:		
1. Designated wilderness areas under the Wilderness Act		
2. Wilderness areas recommended for designation		
3. Wilderness areas designated for study		

ADDITIONAL COMMENTS: